Acceptance and refusal of authorisations



Background

The Education and Care Services National Regulations require early education and care services to obtain written consent from parents, or person named in the enrolment form as authorised, in matters relating to the administration of medication, medical treatment including transportation by an ambulance service, collection of children from the service, and excursions. The information to be provided in these written authorisations is also detailed in the Education and Care Services National Regulations.

Policy statement

This policy outlines what is a correct authorisation under the Education and Care National Regulations, and what is not correct. Further it details the options available to the Service when deciding to accept or refuse an authorisation. The policy also addresses the two exceptions to the requirement of parent written authorisation.

Strategies and practices

- The information requested on the forms used by the Service to obtain written consent from parents/authorised adults for administration of medications, medical treatment of the child (including transportation by an ambulance service), collection of children from the Service, and excursions complies with the requirements of the Education and Care Services National Regulations 2011.
- All authorisation forms received are checked by the Nominated Supervisor or delegated staff member to ensure completeness and that the name and signature of the authoriser on the form are consistent with the name and signature of the parent or person named on the enrolment form as authorised. If either is not the case, the form will be returned to the parent for amendment.
- Before the Nominated Supervisor or delegated staff member begins any action that requires written permission from the child's parents/authorised adult, including excursions/incursions, the staff member will refer to the written permission form. Unless the form is accurate, current, complete in every detail and correctly signed, the staff member will exercise the right of refusal.
- In an anaphylaxis or asthma emergency with a child, the Nominated Supervisor or delegated staff member will invoke the exception provided in Regulation 94 of the Education and Care Services National Regulations 2011 which sets aside the requirement for authorisation. As soon as practicable after such an emergency, the Service will notify a parent of the child and emergency services.
- All completed and signed authorisations are stored in the child's file and/or in a designated place. Documents are kept securely, and their location is known by all staff.

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Additional safe practices for babies

No additional practices are required.

Responsibilities of parents

To provide the Service with all relevant required written approvals.

Procedure and forms

Acceptance and Refusal Procedure

Links to other policies

- Administration of Medication Policy
- Delivery and Collection of Children Policy
- Enrolment and Orientation Policy
- Evacuation and Lockdown Policy
- Excursions and Incursions Policy
- Incident, Injury, Trauma and Illness Policy
- Medical Conditions Policy
- Privacy and Confidentiality Policy

Links Education and Care Services National Regulations 2011, National Quality Standard 2018

Regs	92	Medication record	
	93	Administration of medication	
	94	Exception to authorisation requirement – anaphylaxis or asthma emergency	
	99	Children leaving the education and care service premises	
	102	Authorisations for excursions	
	160	Child enrolment records to be kept by approved provider and family day care provider	
	161	Authorisations to be kept in enrolment record	
	168	Education and care services must have policies and procedures	
	170	Policies and procedures to be followed	

QA	2.2.1	At all times, reasonable precautions and adequate supervision ensure children are protected from harm and hazard.	
	2.2.2.	Plans to effectively manage incidents and emergencies are developed in consultation with relevant authorities, practised and implemented	
	7.1.2	Systems are in place to manage risk and enable the effective management and operation of a quality service	

Sources

- Education and Care Services National Regulations 2011
- Guide to the National Quality Standard 2018

Acceptance and refusal of authorisations



Further reading and useful websites

- ACECQA http://www.acecqa.gov.au/ accessed 23 June 2025
- National Quality Framework https://www.nqf.gov.au accessed 23 June 2025
- Early Childhood Australia https://www.earlychildhoodaustralia.org.au accessed 23 June 2025
- Australian Government Department of Education https://www.education.gov.au/earlychildhood

Policy review

The Service encourages staff and parents to be actively involved in the annual review of each of its policies and procedures. In addition, the Service will accommodate any new legislative changes as they occur, and any issues identified as part the Service's commitment to quality improvement. The Service consults with relevant recognised authorities as part of the annual review to ensure the policy contents are consistent with current research and contemporary views on best practice.

Version Control

Version	Date Reviewed	Approved By	Comments/Amendments	Next Review Date
1	8 January 2018	Kaylene Harper	Updated to changed NQF requirements 1 February 2018.	January 2019
2	6 February 2019	Kaylene Harper	No changes made.	February 2020
3	28 January 2020	Kaylene Harper	Accessed sources and further reading/useful websites.	January 2021
4	25 September 2020	Kaylene Harper	Reviewed policy. Accessed sources and further reading/useful websites.	September 2021
5	28 September 2021	Kaylene Harper	Reviewed policy	September 2022
6	6 October 2022	Linda Hollard	Reviewed policy	October 2023
7	22 August 2023	Grace McKinstray	Reviewed Policy.	August 2024
8	1 July 2024	Tiffany Boeske	Reviewed policy Accessed sources	July 2025
9	23 June 2025	Gen Mahaki	Annual policy review with updated sources and references	June 2026